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PATENT  
17243-00035

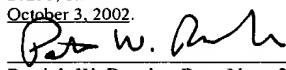
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Randall F. Hornick et al. : Group Art Unit: 2171  
Serial No. 09/771,358 : Examiner:  
Filed: January 27, 2001 :  
For: METHODS AND SYSTEMS FOR :  
IDENTIFYING PROSPECTIVE :  
CUSTOMERS AND MANAGING DEALS :  
:

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the  
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20231, on

October 3, 2002.

  
\_\_\_\_\_  
Patrick W. Rasche, Reg. No.: 37,916

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

1.  Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
2.  Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
3.  Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
4.  Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d)  
[before Issue Fee payment]

Hon. Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

5.  A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This Paper is submitted in accordance with:

6.  37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
7.  37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
8.  The required certification made in item 11 below; or



9. — The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

10. — 37 CFR 1.97(d): [before issue fee payment]; and

— a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and

✓ b) The required Certification is stated in item 11 below.

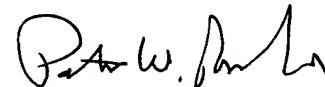
11. ✓ Certification

12. ✓ Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

13. — No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

14. ✓ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. An original and two copies of this document is enclosed.

Respectfully submitted,



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Please Type a plus sign (+) Inside this box



PTO/SB/08A (08-00)

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**SUPPLEMENTAL INFORMATION  
DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/771,358
Filing Date	January 27, 2001
First Named Inventor	Randall F. Hornick, et al.
Group Art Unit	2171
Examiner Name	

Attorney Docket Number 17243/00035

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	2001/0054004A1		Powers	12/20/2001	
	AB	2002/0055967A1		Coussement	05/09/2002	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	FA	JP	JP02001209 701A		Funaki, et al.	08/03/2001		

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Items (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BA	International Search Report; Applicant: GENERAL ELECTRIC CAPITAL CORPORATION; In re International Application No.: PCT/US02/01035; International Filing Date: January 10, 2002. (3 pgs.)	

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Examiner Signature		Date Considered:	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a checkmark here if English language Translation is attached.

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